

Date

TO: All AES Participants

FROM: Gerard J. Horner  
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Subject: **AESTIR UPDATED OCTOBER 2000**  
**The Exporter is now the U.S. Principal Party in Interest**

The Automated Export System Trade Interface Requirements (AESTIR) has been revised to reflect changes made to the "exporter" fields on the AES record.

Effective October 10, 2000, the Bureau of the Census (Census) amended the Foreign Trade Statistics Regulations (FTSR) to clarify the responsibilities of exporters and forwarding and other agents in completing the Shipper's Export Declaration and the AES record. The title of the "Exporter" field on the AES record was revised from reading "Exporter" to now read "U.S. principal party in interest". The Employer Identification Number (EIN) required is that of the U.S. principal party in interest. Additionally, for export shipments made by mail, the exemption value of \$500 was increased to \$2500. The Federal Register Notice announcing these and other changes to the FTSR is available on the Customs and the Census web sites.

**It is suggested that the AES participant completely replace any previous versions of the AESTIR with this October 2000 version.**

#### **CHANGES TO AES GENERAL INFORMATION**

*AESTIR, Part I*

##### **Introduction, Overview and General Requirements**

Revised to reflect the "U.S. principal party in interest" (USPPI) as the exporter

#### **CHANGES TO THE COMMODITY MODULE**

AESTIR, Part II, Section 1

##### **Customs Proprietary Commodity Record Formats**

Block Control Header (B) Record and the Block Control Trailer (Y) Record:

Exporter ID Number now reads USPPI ID Number  
Exporter ID Type now reads USPPI ID Type  
Exporter IRS Number now reads USPPI IRS Number  
Exporter Name now reads USPPI Name

Party Profile Information (N01) Record:

Party ID exporter now reads USPPI  
Party Type E = Exporter now reads E = USPPI

Similar changes were made in the text through out the documentation to reflect the new term US principal party in interest (USPPI).

### **X-12 Record Formats - 601 Shipper's Export Declaration**

Added ' (U.S. principal party in interest) ' to:

ISA02 (1), ISA06 (1), BA101 (1), BA109 (2), N101 (1), N104 (1), N202 (1), N402 (3), N403 (1), and N404 (1)

Removed PASS from: BA102 (6), YNQ note (1), REF02 (1) and REF03 (1)

Changed mapping from Optional to Not Used for: REF03

Removed codes RY and ZZ from: YNQ01

Changed "Export Classification Code (ECCN) to 'Export Control Classification Number (ECCN) on the X116

Removed 'Forwarder' from paragraph about using Mexican state code. Included 'Virgin Islands' in paragraph about Puerto Rico on the N402

Deleted text from discontinued element: L1315

### **CHANGES TO THE TRANSPORTATION MODULE**

AESTIR, Part II, Section 2

#### **Customs Proprietary Transportation Record Formats**

No changes

#### **X-12 Transportation Record Formats**

##### **309 U.S. CUSTOMS MANIFEST**

GS02 - Provide SCAC code of sender instead of "EB", code for Booking.

P402 - Send date in YYYYMMDD format instead of MMDDYYYY format.

M1102-06, M1118 - Elements were marked as optional, now marked as required.

N903 - Element was marked as optional, now marked as required.

N3 - Send only one N3 record per N1 record.

PER01-04 - Elements were marked as required, now marked as conditional.

VID - Send only one VID record per M11 record.

VID12, 13 - Elements were marked as required, now marked as optional.

N10 - Send only one N10 record per VID record.

N1001 - Element was marked as optional, now marked as required.

##### **309 U.S. CUSTOMS MANIFEST**

GS02 - Provide SCAC code of sender instead of "XI", code for Manifest.

P402 - Send date in YYYYMMDD format instead of MMDDYYYY format.

M1303 - Element was marked as optional, now marked as required.

M1308 - Element was marked as not used, now marked as optional.

M1102-06 - Elements were marked as optional, now marked as required.

N3 - Send only one N3 record per N1 record.

PER01-04 - Elements were marked as required, now marked as conditional.

VID02 - Elements were marked as optional, now marked as required.

VID06, 09 - Elements were marked as required, now marked as optional.

VID11 - Element was marked as optional, now marked as required.

N1005 - Element was marked as required, now marked as optional.

N1011 - Send an "XX" to indicate the last description line.

N1012 - Send an "XX" to indicate the last Marks and Numbers line.

##### **309 U.S. CUSTOMS MANIFEST**

GS02 - Provide SCAC code of sender instead of "EI", code for Receipt of Booking.

P402 - Send date in YYYYMMDD format instead of MMDDYYYY format.

### **350 U.S. CUSTOMS STATUS INFORMATION**

ISA05 - Send "ZZ" instead of "02."

ISA06 - Send "USCSAES" instead of SCAC code.

ISA07 - Send "02" instead of "ZZ."

ISA08 - Send SCAC instead of "USCS."

GS02 - Send "USCSAES" instead of "NC."

GS03 - Send SCAC instead of "USCS."

M1012 - Element was marked as optional, now marked as not used.

P402 - Send date in YYYYMMDD format instead of MMDDYYYY format.

X405 - New mapping, Action Date.

X406 - New mapping, Action Time.

### **353 U.S. CUSTOMS EVENTS ADVISORY DETAILS**

M1008 - Element was marked as optional, now marked as required.

M1502 - Element was marked as required, now marked as not used.

M1504 - Element was marked as optional, now marked as required.

M1505 - Element was marked as optional, now marked as not used.

### **355 U.S. CUSTOMS ACCEPTANCE/REJECTION**

No functional changes were made but element comments were added/updated.

## **CHANGES TO APPENDICES**

AESTIR, Part III

### **Appendix A, AES Common Error Messages:**

Error messages with the term "Exporter" were revised to read "USPPI"

An enhancement to the error messages was made with the addition of the record name to help pinpoint and resolve error situations.

Fatal error messages 184, 186, 187, 188, and 189 were added

Fatal error messages 681, 682 and 983 were added

### **Appendix C, Country Codes**

No changes

### **Appendix D, Port of Exportation (Schedule D)**

#### **NEW PORT CODE ADDED:**

5203 Port Everglades, FL valid for AES vessel shipments only

5210 Ft. Lauderdale-Hollywood Int'l Airport valid for AES air shipments only

### **Appendix E, Export Information Codes**

Matrix added as additional reference

For Manifest Use Only: Codes IT and NE were added

### **Appendix F, License Codes**

### **Appendix G, Type of Transportation Service Codes**

### **Appendix J, Valid Amendment Codes**

### **Appendix K, Units of Measure Abbreviations**

### **Appendix M, Metric Conversions**

### **Appendix N, Equipment Description Codes**

### **Appendix P, Container/Equipment Type**

No Changes (other than the date issued and minor format enhancements)

**Appendix Q, Recommended AES Edits**

References to "Exporter" changed to read "USPPI"

Under the State/Province Code "Forwarder" was removed. The forwarder must reside in the United States

The value 'A' for add was included under corrections flag, line correction flag, and the vehicle correction flag

**Appendix S, Acronym List and Definitions**

Added "USPPI" to list of acronyms

Expanded the list of terms with definitions

**Appendix T, Valid Transportation Codes**

No Change

**Appendix V, Valid HTS Numbers that can not be reported for Exports in AES**

List updated to reflect changes July 2000.

**Appendix Z, Miscellaneous Information**

No Change

These changed files are available on the Customs web site: [www.customs.ustreas.gov/aes](http://www.customs.ustreas.gov/aes)  
Questions should be addressed to your AES Census Client Representative at 301-457-3539.